

LIFT Call for Proposals

Support to Ministry of Agriculture, Livestock and Irrigation (MoALI) for the Implementation of the Programme "Reclamation of Vacant, Fallow and Virgin Lands, Re-allocation and Development by the Rural Poor" (REAL DEV Programme)

Ref no: CfP/LIFT/2018/6/REALDEV

Release date: 10 December, 2018

Deadline: 31 January, 2019 by 13:00 PM (Myanmar local time GMT +6.30)

Title: Reclamation of vacant, fallow and virgin lands, reallocation and

development by the rural poor (REAL DEV programme)

Budget: USD 2.3 million

Estimated start: April, 2019

Duration: Three years

1. Background

The Livelihoods and Food Security Fund (LIFT) is a multi-donor fund established in 2010 to address food insecurity and income poverty in Myanmar. LIFT has received funding from 14 donors — the United Kingdom, the European Union, Australia, Switzerland, Denmark, the United States, the Netherlands, Sweden, France, Luxembourg, Italy, New Zealand, Ireland and Mitsubishi Corporation. The United Nations Office for Project Services (UNOPS) is the Fund Manager to administer the funds and provide monitoring and oversight.

The overall goal of LIFT is to sustainably reduce the number of people living in poverty and hunger in Myanmar. LIFT's purpose is to improve the incomes and nutrition status of poor people in Myanmar by promoting resilient livelihoods and food security. LIFT's purpose-level outcomes are improvements in income, resilience, nutrition, and pro-poor policy developments. LIFT works with implementing partners that include international and national non-government organisations, United Nations agencies, the Government of Myanmar, private sector organisations, and academic and research institutions.

LIFT is active in the four main agro-ecological zones of Myanmar: the Ayeyarwady Delta, Rakhine State, the central Dry Zone (including Mandalay, Magway and the southern Sagaing region), and the Upland areas (including Chin, Kachin, Kayah, Kayin and Shan States and Tanintharyi Region).

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UNOPS is ISO 9001 certified



To date, LIFT has reached more than 9.2 million people or roughly 26 per cent of rural Myanmar's population; and is active in two-thirds of the country's townships.

In 2018, LIFT's Fund Management Office and Fund Board have been working on refreshing the strategy for the next five-year period beginning in 2019. The next phase for LIFT has at its heart 'leaving no one behind' in Myanmar's rural transition with a greater focus on inclusion and social cohesion, increased support to areas affected by conflict, bringing displaced people into LIFT's development programmes and working with Government at all levels on targeted policies that achieve gains in these areas.

LIFT will continue to focus on assisting:

- Households with land, labour or commercial potential to 'step up' through increases in labour and land productivity and enhanced capacity to market production
- Rural households or individuals to **'step out'** of agriculture into the local non-farm economy or to take advantage of opportunities further afield
- Highly vulnerable households to **'hang in'** and use agriculture as a safety net, improve their food security and nutrition outcomes while building their capacity to move out over time

Programmes will increasingly focus on strengthening the resilience and sustainable livelihoods of women, people with disabilities, smallholders and landless, internally displaced people and migrants, those vulnerable to trafficking and forced labour and those living in conflict-affected areas and border states. LIFT will prioritise improving nutrition for women, children and vulnerable groups, promoting decent work and safe and productive labour mobility, supporting agriculture and market development and increasing access to financial services.

For more details visit www.lift-fund.org.



2. Objective of the call for proposals

Reclaiming vacant, fallow and virgin (VFV) lands that remain underutilised after allocation, and reallocating these lands to smallholder farmers who require secure tenure over land resources for improving agricultural livelihoods, is a priority of the Union Government of Myanmar. In response, the Ministry of Agriculture, Livestock and Irrigation (MoALI) proposes to implement a pilot programme, or series of pilot programmes, for the reclamation of underutilised concession land and its reallocation to the rural poor, women and the landless.

A concept note was submitted by MoALI to LIFT in 2017 and field assessments to potential pilot sites in Magway, Sagaing and Mandalay Regions followed. Two pilot sites were selected in Minhla Township of Magway Region. A design mission was undertaken in March 2018 by a consultant team to develop a programme design document *Reclamation of Vacant, Fallow and Virgin Lands, Re-allocation and Development by the Rural Poor* (REAL DEV Programme) **see Annex 6.** Further background information is provided in **Annex 7**.

MoALI's Department of Planning and LIFT are seeking an implementing partner to support MoALI and the Magway Regional Government to manage and implement the REAL DEV Programme based on the terms of reference below.

2.1. Purpose of the REAL DEV Programme

The REAL DEV programme funded by LIFT is a three-year pilot programme implemented in two sites to reclaim unused agricultural land from concession holders, reallocate the land to farmers (including women, the rural poor and landless), and assist farmers in developing this land and associated farming enterprises by linking to relevant input/output markets, service providers and the building of resilient production systems. It is anticipated that lessons learned will inform interventions in additional pilot programmes and/or facilitate the scaling-up of the approach, as well as provide and inform policy directions on the reallocation of unused concession land more broadly.

The pilot sites cover two former concession areas in Minhla Township, one formerly held by the company Thitsamyay (10,845 acres or 4,389 ha) and the company Yuzana (2,617 acres or 1,059 ha). The companies under their own volition have formally returned these lands to the State. It is expected that the pilots will cover a total of 5,500 acres (2,226 ha), or approximately 500 households, with a process that includes the reallocation of land, land management planning, and the granting of land use certificates. Based on the outcomes of the aforementioned the agricultural development activities will be initiated to target the township more broadly, including the potential scope of engaging with a further 2,000 households.

The overall goal of the programme is to develop a replicable approach to land reclamation and reallocation, while increasing agricultural productivity, and generating policy directions. Five specific programme objectives will achieve the overall goal:

- 1. Improved process for reclamation of vacant, fallow and virgin Land (VFVL) concessions based on transparent and conflict-sensitive principles
- 2. Improved process for reallocation of VFVL to smallholder farmers and the landless that is transparent, inclusive and participatory
- 3. Improved agricultural productivity of rural farmers through more efficient climate-smart value chains and links to services
- 4. Increased resilience of rural farmers through more secure access to land and climate change adaptation and mitigation



5. Generation of insights and evidence for improved policy on VFV land management

The programme goal and objectives reflect the goal of LIFT: To contribute to the national goal of sustainably reducing the number of people living in poverty and hunger in Myanmar; including LIFT's purpose: To improve the incomes and nutrition status of poor people in Myanmar by promoting resilient livelihoods and food security. The achievements of the REAL DEV programme will be measured in a format that reflects both the government goals as articulated in the Agriculture Development Strategy (ADS) and LIFT's results framework.

2.2. Components, Outcomes, Outputs and Indicative Activities

The intended outcomes, outputs and activities are presented in the programme design document (Annex 6). It is expected that interested implementing partners, while preparing submissions and in subsequent shaping of their respective detailed work plans, will bring their own expertise to the programme design.

2.3. Institutional Framework

MoALI proposes an institutional arrangement of a **Steering Committee** at Union level, chaired by the Deputy Minister of MoALI; and an **Implementation Committee** at the township level chaired by the Township General Administration Department (GAD) Officer, and including civil society organisations, farmer representatives, and members of parliament in addition to relevant departments.

The Departments of Agriculture (DOA) and/or the Department of Agricultural Land Management and Statistics (DALMS) at regional level will be the two main implementers, or **Focal Departments**. The Department of Planning of MoALI at Union level will take a coordination role, with initial responsibility for the programme design.

The implementing partner will support MoALI in a number of functions, primarily to manage and report on LIFT-provided programme funds on behalf of MoALI and manage procurement of services and equipment. The implementing partner will also provide technical assistance (either directly or subcontracted) and be responsible for monitoring and evaluation. The implementing partner will be based in the Programme Management Unit to be estabilished in Magway within MoALI's offices.

3. Terms of Reference of Implementing Partner

Role of the Implementing Partner

The role of the implementing partner is to support programme implementation through financial management (while MoALI retains decision-making power over the budget) and technical support, specifically expertise in land reallocation, agricultural value chain development, and communications and advocacy. The implementing partner will be responsible for monitoring and reporting on the programme in line with LIFT requirements.

The implementing partner will:

- Provide support for the effective management of the REAL DEV Programme (financial management, procurement, planning, monitoring, evaluation and communication)
- Provide technical expertise for the implementation of the programme, documenting lessons and contributing to evidence-based policy work
- Collaborate and coordinate with other concerned departments of MoALI
- Support the organisation of the Implementation and Steering Committees
- Design and implement capacity building for government staff



• Liaise with other relevant projects and programmes working on improving land tenure security and share project learning and relevant technical applications.

Key Functions and Positions

The key functions and positions required for this assignement are as follows:

- a. Programme Manager (International, full-time, 3 years): The Programme Manager will act as the counterpart to the MoALI-appointed Programme Director, and will manage the financial and technical support inputs of the programme. The Programme Manager will be responsible to the MoALI Programme Director as well as to LIFT's Fund Management Office. Functions will include:
 - Support MoALI's overall programme management functions (planning, HR, contracting, meeting organization, etc.)
 - Support the preparation of annual and quarterly work plans and budgets for approval by the Implementation Committee
 - Management and supervision of the Finance Manager, technical support staff and consultants
 - Primary responsibility for monitoring and evaluation
 - Primary responsibility for documentation of approaches and policy lessons
 - Interaction with the Programme Director and institutions, as well as with the LIFT Fund Management Office
 - Technical support function on either land allocation or agricultural value chains.
- b. **Finance Manager** (National, full-time 3 years): Ensure the establishment and implementation of appropriate financial systems and oversight to international audit standards.
- c. Finance Assistant (National, full-time 3 years): Provide support to the Finance Manager.
- d. Technical Advisor: Agricultural Value Chains OR Land Allocation and Management (International, 18 months). The Technical Advisor will work with government staff and local farmers to support the design of, and follow-through on, the agricultural value chain strengthening interventions, beginning with a Market and Feasibility Study on which potential interventions will be based. OR The Technical Advisor will support the programme aspects on land reallocation and planning, including support to developing a successful pilot approach, and adjust as needed (if Programme Manager is an Agricultural Value Chain specialist)
- e. Land Allocation and Management Programme Officer (National, 18 months): The Programme Officer will support the programme aspects on land reallocation and planning, including support to developing a successful pilot approach, and adjust as needed.
- f. **Agricultural Value Chain Programme Officer** (National, 18 months): The Programme Officer will support the design of, and follow-through on, the agricultural value chain strengthening interventions, beginning with a Market and Feasibility Study on which potential interventions will be based.
- g. **Technical Advisor on Communications and Accountability** (National, full-time, 3 years): Conflict mitigation is a critical aspect of the programme, and transparency is one of the guiding principles. The Communications and Accountability Officer will develop a Communications Plan



at the start of the programme, be responsible for the implementation of the plan, and review and adjust as needed. The Communication Plan objectives are: 1) Ensure that the programme goals are appropriately communicated at the start and throughout the life of the programme; and 2) Ensure that all interventions around land allocation are undertaken in an accountable and transparent manner, following principles of Free Prior and Informed Consent. The Communications and Accountability Officer will review internal communications procedures and advise revision as necessary. The Communications and Accountability Officer will ensure that appropriate feedback mechanisms are in place, including but not limited to, a complaints mechanism; and that mechanisms are established and relevant for use by the programme beneficiaries.

These services will be provided directly by the contractor or contracting consortium. Additional sub-contracted services may include short-term technical expertise as required for programme activities.

The applicant may re-organise or adjust the positions and roles as necessary in the bid, but must demonstrate how the indicative expertise will be provided according to the programme needs.

Required Experience

- 1. Experience in provision of technical support to government agencies, preferably in Myanmar or the region.
- 2. Experience managing budgets of up to USD 2 million annually.
- 3. Experience working successfully in conflicted situations.
- 4. Experience working sensitively in multi-cultural environments.

Required Capacities

- 1. Proven capacity for transparent and systematic financial management that meets or exceeds the requirements of international standard audits.
- 2. Capacity to provide technical support to and work effectively with government agencies.
- 3. Capacity to function effectively in remote geographic areas under conditions of scarce resources (human, material).
- 4. Expertise in:
 - a. Programme management, including monitoring, evaluation and reporting
 - b. Land allocation and land management
 - c. Agricultural value chains
 - d. Communications (external and internal)
 - e. Generating evidence for policy directions.

AND/OR proven capacity to recruit and manage such expertise toward successful programme outcomes.

Location for Implementation

The programme's implementing partner will have a field office in Magway town for management, implementation, and coordination. Office space will be provided by the regional government with any necessary building renovation completed by, and at the expense of, the programme.



4. Project Timeframe and Indicative Budget

Planned start date of the programme is **April, 2019**. A 3-year workplan and corresponding budget will guide the implementation.

The provisional allocation of LIFT's funding associated with the programme is set at USD 2.3 million and the indicative allocation between the two core elements of the programme are estimated below and can change as it develops.

Investment Area	Indicative Allocation
1. Land Allocation	USD 1.3 million
2. Agricultural Development	USD 1.0 million
Total	USD 2.3 million

5. Requirements for proposal submission:

Eligible implementing partners include international and national non-governmental organisations, United Nations agencies, other international organisations, academic or research institutions and private sector entities.

Key documents shared as part of this call in the preparation of submissions are:

- Annex 1: Format and requirements for proposals
- Annex 2: Evaluation criteria to be used by the evaluation committee
- Annex 3: Guidelines on gender sensitivity for proposals
- Annex 4: Guidelines on Value for Money
- Annex 5: Conflict sensitivity principle
- Annex 6: Program design document
- Annex 7: Report on land assessment in Magway by GRET for LIFT

Please note the following requirements for submissions:

- Proposals must be prepared in the English language according to the format requirement presented in **Annex 1**.
- The Curriculum Vitae of key personnel (International and National) related to the above roles and functions should be provided with proposals.
- Proposals must be received by email at the following address: (<u>lift.proposals.mmoh@unops.org</u>)

on or before 13.00 PM Yangon time (GMT+6:30) on 31 January, 2019.

Other points that need to be taken into consideration when preparing and submitting proposals:

- Please do not submit documents to any email address other than the secure email address provided above or your proposals will be considered invalid.
- The size of individual emails, including email text and attachments, must not exceed 5 MB.



Clarification questions: Requests for clarification should be referred to lift@unops.org. The deadline for requests for clarification is 9 January, 2019. Clarifications will be provided on the LIFT website: https://lift-fund.org/ and the UN Global Marketplace website: https://www.ungm.org/Public/Notice

Please note that the cost of preparing a proposal and of negotiating a grant agreement, including any related travel, is not reimbursable nor can it be included as a direct cost of the assignment.

Successful applicants will be expected to conform to LIFT's Operational Guidelines, which are available at http://www.lift-fund.org/guidelines. The guidelines specify LIFT's rules in relation to *inter alia* reporting, procurement, inventory management, record management and visibility.

The applicant will have to show how the proposed intervention will be aligned with the LIFT strategic outcomes and outputs as defined in the LIFT Strategy (http://www.liftfund.org/strategy). Other LIFT guidance should be addressed as appropriate. Note in particular the LIFT gender strategy (http://www.lift-fund.org/guidelines) and the LIFT accountability framework (http://www.lift-fund.org/guidelines)

6. Proposal appraisal and selection procedures

An Evaluation Committee will complete a technical, financial and organisational capacity assessment of each proposal. As a part of its appraisal process, LIFT may elect to discuss technical, cost, or other aspects of the proposals with applicants. The selection of the proposals is carried out through two stages:

6.1. Appraisal by the evaluation committee:

The Evaluation Committee will appraise each proposal using all the criteria listed in **Annex 2**. Proposals that do not align sufficiently with the LIFT strategy, the LIFT Gender Strategy and the thematic requirements of this call, or which have shortcomings regarding the criteria outlined in this section, will be rejected. The full appraisal and documentation of the shortlisted proposals are submitted to the LIFT Fund Board with recommendations.

6.2. LIFT Fund Board review:

The Fund Board will review the appraisals and provide its recommendations for endorsement and conditions of endorsement.

The endorsement of the proposal by the Fund Board is not a guarantee to receive funding until the conditions attached to the endorsement have been fulfilled and the grant support agreement is signed. LIFT reserves the right to reject a proposal after Fund Board approval if it cannot reach an agreement with the applicant for contracting. Unsuccessful applications will not be returned to the applicant.

Successful proposals will be implemented under a Grant Support Agreement for NGOs and inter-agency agreements with UN organisations with UNOPS as the LIFT Fund Manager. Please refer to the LIFT website for the template including the general terms and conditions (https://www.lift-fund.org/guidelines). The expected contract duration is for a maximum of three years.

7. Schedule of Events

The dates provided below are indicative. The Evaluation Committee may follow a quicker or a longer timeframe for the appraisal of the proposals.



Event	Date	
Call for Proposals release date	10 December, 2018	
Deadline for receipt of written inquiries	9 January, 2019	
Last written responses distributed	11 January, 2019	
Proposal due date	31 January, 2019 by 13:00 PM (Myanmar local time, GMT +6:30)	
Grant agreement negotiation	March, 2019	



ANNEX 1: Format and requirements for the proposal submission

The proposal must be complete and conform to the formal requirements presented below.

Submissions must be made electronically as outlined in the main part of this call for proposals.

Electronic submissions must not exceed 5MB in size.

The proposal must not exceed 25 pages (12 point Calibri Font and a minimum of 1 inch margins all around). Pages should be numbered. The proposal may include annexes with additional details regarding approach, methodologies, references, maps, etc. Annexes must not exceed 30 pages.

LIFT will consider only applications conforming to the above format and page limitations. Any other information submitted will not be evaluated.

Applicants should include all information that they consider necessary for LIFT to adequately understand and evaluate the project being proposed. The remainder of this section describes the information that LIFT considers necessary for all applications. There is no obligation to follow the order of the sections below, and applicants are encouraged to make their proposal reader friendly and to avoid repetition.

Proposals must consist of the following:

Title page

Project title, name and contact of the applicant, partners, geographical area, expected project duration, start and finish dates and total budget. Note that the title page is *not* counted in the proposal page limitation.

Preamble

Include a table of contents, a list of abbreviations, a map and an executive summary. Note that these pages are *not* counted in the proposal page limitation.

Project background and rationale

Outline the origin of the concept, problem definition/rationale and context for the project. Outline how the proposed project aligns with the LIFT strategy (available on LIFT website www.lift-fund.org) and the thematic components of this call and explains how lessons from previous experiences and studies inform the design of the project.

Explain how the project aligns with the development plans/priorities of the Government of the Union of Myanmar (if not, why not), and other development partners working in the same field and/or area. Identify any gaps in the available knowledge.

Outline the results of key discussions that have taken place in preparation of the proposal, including:

- who was consulted (e.g. other development partners, government departments, NGOs, etc.)
- any issues raised pertinent to the project's rationale and design approach
- a summary of the views of other key stakeholders

Target area and stakeholder analysis

This section should describe the targeted geographical areas of the project and number of direct and indirect beneficiaries (disaggregated by sex). The distinction between direct and indirect beneficiaries should be clear.



A project stakeholder analysis should be included to review the key direct and indirect beneficiaries, and the organisations and individuals involved and who have an interest - along with any vested interests they may have. The following are also important:

- a clear description of how the project will cooperate with the government and non- state actors, and engage with the private sector
- a description of the role of all local institutions involved and any support or intention to establish new institutions clearly detailed and justified
- where new or improved institutional arrangements are to be enduring, explanation should be provided on the sustainability provisions included

Project Theory of Change

A clear Theory of Change (TOC) should be presented in diagrammatic form and explained in a narrative. An actor-centred TOC is most suitable and preferred. There should be a specific statement of what the project will accomplish and what the key results are in terms of project level outcomes and outputs in a summary form.

Outcomes, outputs, activities, technical approach, methodologies and scope

The activity and methodology description needs to be sufficient to identify **what** will be done, **how** it will be done, and **where** it will be done. It should indicate **who** will do what at a broad level to explain stakeholder roles. The structure of the proposal needs to align with the workplan and budget to allow tracking analysis and value for money assessments.

This section should include consideration of relevant cross-cutting issues (gender, nutrition, human rights and the environment). The gender issues the project intends to address should be reflected in the activities and the project TOC.

A work plan should be presented in graphical (spreadsheet or table, preferably in LIFT template) form and can be attached as an annex. It should indicate the sequence of all major activities and implementation milestones, including targeted beginning and ending months for each step and key deliverables. Provide as much detail as necessary to understand the implementation process. The work plan should align with the ToC and budget and show a logical flow of implementation steps, indicating that all the things that must happen have been carefully thought through from the start to the end of the grant project. It should consider seasonality and/or other major constraints. Please include in the work plan all required milestone reports and monitoring reviews.

Risks and mitigation

Identify and list major risk factors that could result from project activities and/or the project not producing the expected results. These should include both internal/operational factors (e.g. the technology involved fails to work as projected) and external factors (e.g. government policy changes). Outline mitigation strategies and/or how risk will be identified and assessed in the design. Include key assumptions on which the proposal is based.

Cross-cutting issues (gender, nutrition, environment, do-no-harm)

Cross-cutting issues like gender, nutrition, do-no-harm need to be considered in the proposal. The gender issues that the project intends to address should be reflected in the activities and the project TOC. See annex 3 for details about inclusion of gender considerations in the proposal.



Nutrition (especially the reduction of stunting in children through a focus on the first 1,000 days window) is an important cross-cutting issue for LIFT that needs to be included in project implementation. For more information about what LIFT is doing to reduce stunting and improve nutrition see the LIFT website.

The proposal has to show that the proposed interventions do not harm the target group or any other stakeholders to the project.

Monitoring and evaluation for accountability and learning (MEAL) management

This section should follow the guidelines provided in LIFT's MEAL guidelines https://www.lift-fund.org/monitoring-and-evaluation-learning-and-accountability-meal-guidelines-ips-upcoming-proposals-and. During the inception period all projects need to provide a complete MEAL Framework including three main components: (1) a project Theory of Change; (2) project evaluation and learning questions; and (3) a project Measurement Plan¹. For the proposal submission only the project Theory of Change and the Measurement Plan are required.

Projects need to establish an appropriate project baseline and conduct an endline survey to support the final evaluation.

Organisational background of the applying organisations

It should be clearly demonstrated that the proposing organisation has the experience, capacity, and commitment to implement the proposed project successfully.

The following should be covered:

- Type of organisation Is it a community-based organisation, national NGO, international NGO, research or training institution?
- Organisational approach (philosophy), purpose and core activities of the organisation, and relevant experience.
- Length of existence and legal status. The applying organisation and partners should have the appropriate authority to carry out the project in Myanmar.
- Expertise mobilised from within and outside the organisation.
- A description of partnerships, how long they have been in place and for what purpose.
- An explanation of previous or existing activities in the target area and what working relationships are in place with government and non-state actors.

Staffing

An overview of the organisational structure of the project should be provided, including the CVs of key personnel (national and international), (e.g. chief of party, project director, senior technical advisor).

How the expertise required for project implementation will be made available should be explained (i.e. from within the organisation, through external consultancy, and partnerships) along with a description of implementation roles. LIFT encourages gender balance in the project team composition.

¹ A measurement plan replaces LIFT's previous use of project logframes and identifies the project outcomes, outputs, indicators, targets, milestones and means of verification. A template is available in the MEAL Guideline, which is on the LIFT website.



Partnerships

Explain who the partners are, how they have been identified, what their specific expertise is, what their contribution is to be and how the relationships between the partners will be managed throughout the project. The section should explain what the governance and coordination arrangements are, and how the project will maximise local ownership. The lead applicant should provide a brief assessment of the institutional, organisational and technical capacities of partners and how the project will strengthen their capacities, including:

- institutional, organisational and technical support to, and capacity building of, local partners
- identify budget allocation between partners, including for indirect costs (see below)
- contractual relationships and coordination/decision-making systems
- organisational chart including links between partners

If a partner is not full time on the project, please provide a schedule for their inputs.

The lead applicant should submit in an annex to the proposal a letter signed by the proposed partners stating that they have contributed to the project design, are willing to collaborate with the applicant and that they agree to enter into an agreement if the proposal is successful.

Project budget and value for money

A realistic budget is an important part of developing and implementing a successful project. The proposal budget should include a detailed breakdown of costs. The budget template available on the LIFT website must be used https://www.lift-fund.org/budget-initial. The budget breakdown should clarify the total allocated budget for each component that the project will contribute to. The budget breakdown should align with the ToC and the workplan.

The following important principles should be kept in mind in preparing a project budget:

- Include only costs that directly relate to efficiently carrying out the activities and producing the outputs and outcomes, which are set out in the proposal. Other associated costs should be funded from other sources. Refer to the LIFT operational guidelines on what LIFT can and cannot fund.
- The budget should be realistic.
- The budget should include all costs associated with managing and administering the grant project. In particular, include the cost of gender-sensitive monitoring and evaluation.
- Indirect costs are allowable up to six per cent of the total direct costs, not including investment capital funded by LIFT.
- The budget line items in the budget template are general categories intended to assist in thinking through where money will be spent. If a planned expenditure does not appear to fit in any of the standard line item categories, list the item under other costs, and state what the money is to be used for.
- The figures contained in the budget sheet should agree with those on the proposal header and text.
- The budget needs to be accompanied by detailed assumptions on costs (e.g. how many computers
 are required for how many staff, how per diems are calculated, etc.). The narrative detailed
 assumptions should not repeat the budget figures but explain your assumptions when calculating



the figures in the budget.

• Costs incurred at headquarters outside Myanmar will be only considered in exceptional cases and if directly related to the project.

Financial and technical proposals should be sufficiently linked with the ToC and work plan to conduct value-for-money (VfM) assessments of the project during implementation. A lot of the value for money assessments during the implementation will depend on realistic planning and well managed implementation.

Proposals that demonstrate that LIFT's funds will leverage other funds, as well as proposals that demonstrate multiplier effects or clear progress towards financial sustainability, are encouraged.

For more guidance on value for money see Annex 4.



ANNEX 2: Evaluation criteria

An Evaluation Committee will appraise applications in accordance with the selection criteria identified below. Applicants should note that these criteria serve to a) identify the significant issues that applicants should address in their applications; and b) to set standards against which all applications will be evaluated. If there are ambiguities/unclear explanations, or further need for details, the LIFT Evaluation Committee will seek clarification from the submitting organisation if the proposal otherwise meets the main criteria.

The Evaluation Committee will assess the following questions to justify their final appraisal:

- **Completeness:** Is the information provided in the proposal complete and sufficient for the appraisal?
- Relevance: Is the problem definition and rationale for the project clear and does it address a critical issue relevant to LIFT strategy?
- **Context analysis**: Is the project based on a good understanding of the context in the respective project site?
- Stakeholder analysis: Is there a stakeholder analysis and a clear definition of target groups? To
 what extent is participation of, and ownership by, key stakeholders in planning and design
 evident? Is it clear how the project will work with the government, non-state actors and the
 private sector?
- **Coherence of the design:** Is there clear outcome logic? Are the project's expected results well defined and aligned with the identified problem/needs?
- Approach and methods: Is the project approach and methodology innovative, feasible and appropriate? Are the methodologies based on previous experience and evidence-based knowledge? Is the idea technically feasible and likely to achieve the stated results? Does it embody good development practice and lessons?
- Operating principles: Is the proposed project in line with the LIFT Operational and MEAL Guidelines? How specifically does the project propose to monitor continuous alignment with the principles?
- **Sustainability:** Does the project demonstrate a good case for sustainability of the proposed outcomes and impacts beyond the funding period? Has an exit strategy been considered?
- **Cross cutting issues:** Are relevant gender, nutrition, migration, and environment issues considered?
- Gender sensitivity: Does the proposal demonstrate awareness and understanding of concrete gender-related/gender-specific challenges in the project context? To what extent does the proposal strive to include women as both, equal participants and as equal beneficiaries? To what extent does the proposal plan to contribute to greater gender equality and women's empowerment? What concrete measures are proposed to address gender issues? Is gender equality/women's empowerment reflected in the proposal's TOC? Does the project plan to conduct a gender analysis at the beginning of project? Will the project collect sex-disaggregated data? Are gender-sensitive and/or gender-specific criteria integrated in monitoring and reporting



systems? Refer to Annex 1 for specific guidelines and evaluation criteria for gender sensitivity. See annex 3 for more guidance.

- **Risks:** Has the proposal sufficiently considered major internal and external risks and indicated risk mitigation measures to be developed?
- **Monitoring and evaluation for accountability and learning:** Is a ToC and measurement framework provided and are they appropriate to the type and scale of the project?
- **Learning and policy dialogue:** Does the project give scope to contribute to evidence-based knowledge and policy dialogue?
- **Capacity:** Does the proposed implementing organisation and its partners have the necessary technical expertise, experience and capacity to implement the project?
- Partnership: What partnerships are foreseen in the proposal? Is the partnership built on long-term trust relationships? Is the governance and coordination system between stakeholders and partners appropriate? Is the role and involvement of the sub-partners clear and sound? Are the local partners likely to increase institutional, organisational and technical capacities through project implementation?

Partnership quality will be a key consideration during the evaluation of the proposals. Applicants should demonstrate that their organisation and proposed partners have relevant expertise. It is fundamental at the proposal stage that all partners involved in the project are aware of the proposal, its content and their specific responsibilities and agree on an initial agreement (financial and technical). Please also take into consideration that according to the LIFT Operational Guidelines applicants are expected to share indirect costs with their sub- partners. LIFT will favour partners that can demonstrate sufficient contextual understanding, including of the local institutional structure and key government, non-state armed groups and civil society stakeholders. Identified partners should have already built trusted relationships with relevant local stakeholders and have interventions that are supportive of local institutions, whether formal or informal. Gender-sensitivity of the partner organisations is desirable regarding both their organisational policy and their operational approach.

• **Budget:** Does the budget demonstrate value for money for the project, in particular in relation to the expected results? Is it adequate to deliver the outputs? Is there a sufficient budget dedicated to M&E, learning and capacity building? Is the budget aligned with the workplan and the ToC? Do local partners receive their share of the indirect costs? See Annex 4 for VfM guidance.



ANNEX 3: Guidelines on gender sensitivity

Why do LIFT's proposals have to be gender sensitive?

LIFT is strongly committed to contributing to greater gender equality and women's empowerment through all its projects and programmes. LIFT strives to achieve the following four outcomes related to gender:

- Increases in women's access to, and control over, resources
- Increases in women's participation in decision-making
- Increases in women's knowledge and skills
- Improved focus on gender within livelihood and food security policies²

An important step to achieving these goals is to ensure gender sensitivity is considered in the formulation and planning of projects.

What does gender sensitivity mean for LIFT?

Gender sensitivity means that in each action and process, gender norms and roles, and the impact gender has on access to, and control over, resources are considered and addressed. Suggested guiding questions for assessing gender sensitivity are:

- How does the proposal attempt to address existing gender inequalities?
- How does the proposal strive to include women as both equal participants and as equal beneficiaries of the planned interventions?

Projects should not only propose equal numeric participation of female/male participants but also strive for equal quality of their participation. The latter is more difficult to assess than merely counting numbers, and often requires supportive actions to empower women e.g. gender-sensitive activities that includes men/boys to ensure that women's decision-making capacity is sustained beyond the project.

Where do LIFT's proposals have to be gender sensitive?

Gender sensitivity should be woven into all stages of projects and programmes. Every project proposal includes a mandatory section on gender where the project is required to answer the question: "How is gender considered in the project...?" Here, the proposal outlines the gender sensitivity, and the alignment with LIFT's gender strategy and how the proposed intervention contributes to LIFT's four gender programme outcomes stated above.

Gender should also appear explicitly in the project's ToC to reflect gender-related goals and outcomes of the project.

² LIFT's Gender Strategy https://www.lift-fund.org/sites/lift-fund.org/files/uploads/Guidelines/LIFTGenderStrategy_Jan201
7 FINAL.pdf



ANNEX 4: Guidance on value for money

VfM in the proposal

Value for money (VfM) begins with programme design. Project proposals should include an overall value for money statement (why the chosen interventions are better value for money than alternative approaches to address the same problem). For example, the VfM statement could include a cost-effectiveness assessment of two or more alternatives, unit-cost benchmarks, or local or international evidence that supports the chosen intervention, and the reasoning for the proposed approach in this context.

How the project will manage VfM during implementation

This guidance provides a high-level overview of VfM in the project cycle (Figure 1); a description of how the programme components are assessed for VfM (Table 1); and how the assessment of programme components is linked to the four VfM factors: economy, efficiency, effectiveness, and equity (Table 2).

Projects begin with inputs (grants) that are translated into goods and services necessary to implement the project. The allocation of funds for staff, equipment, services, administration, and contracting with partners for project delivery are often analysed in planning and during implementation.

Translating inputs into delivery for beneficiaries involves processes and activities that lead to outputs. VfM analysis generates evidence to manage and maintain efficient operations at this project stage. Beneficiary measurements assessing the equitable spread of outputs are also analysed. For such measures cost efficiency, unit costs, and regional variations are often analysed.

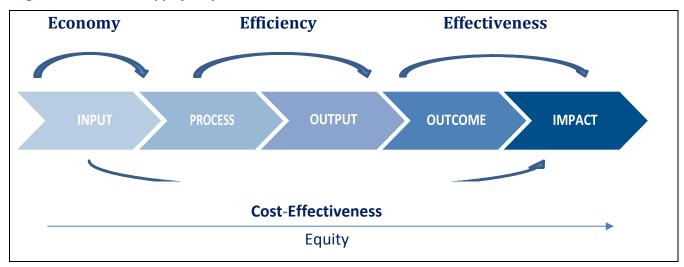
When outputs are sustained, and when there is evidence of adoption of planned results by beneficiaries, effectiveness is assessed. Effectiveness may be assessed by cost-benefit analysis of sustained results, unit-costs of outcomes, value lost or gained vs. plan, sustainability, and ultimate impact to improve the lives of beneficiaries. It should be noted that effectiveness measures are often less possible in the early stage of programming when there is less evidence of adoption and sustained results.

Equity is measured across the stages of planning and implementation. Clear plans for quantifying beneficiaries should be defined including gender disaggregation, displaced persons, disabled persons, and persons in conflict affected areas.

Figure 1, following, presents the Value for Money Project Cycle.



Figure 1: Value for money project cycle



The project cycle consists of various programme components illustrated below in Table 1.

Table 1: Programme components

Input:	Process:	Output:	Outcome:	Impact:
HR, procurement of goods and services to accomplish project objectives, contracted	How are inputs used for beneficiaries; strategy to accomplish project objectives; partner management	The planned results delivered for beneficiaries	The sustained use, adoption, or benefit received by beneficiaries.	Long-term transformative change
deliverables. e.g. vaccines procured, contracting methods	e.g. plans to deliver and monitor use of vaccines	e.g. Number of children vaccinated	e.g. children less susceptible to major childhood diseases	e.g. poverty reduced

The evaluation committee will review the project's VfM approach for a clear plan indicating how the VfM factors (Table 2) will be measured and managed across programme components during project implementation.



Table 2. Value for money factors

Economy:

What is the evidence that the purchase and contracting for goods and services is the best quality at the best price?

What are the processes to ensure economy for the project duration?

Efficiency:

What is the relationship between planned and actual results and expenditures?

Are output targets achieved at or below budget? How will the project manage efficiency during implementation?

Effectiveness:

How will the project measure if the interventions are effective?

How will the project assess the likelihood of sustainability or the needed for additional support? How will beneficiary adoption of results, and potential impact be estimated or measured? What is the exit plan when the project ends?

Equity:

How will the project measure the equitable spread of benefits across gender, disabled persons, displaced persons, and persons in conflict areas?

Justify whether beneficiary disaggregation will be assumed, estimated, or counted? Has the project considered the possible costs to ensure equity?

To demonstrate an understanding of VfM, it may be useful to propose a limited number of measures that will be reported on regularly, the data that will be used for VfM reporting and calculations and link each measure to one (or more) VfM factor(s).

The evaluation will review how the proposed project will include VFM analysis in its strategy and planning and how the project will manage VfM during implementation. It is not necessary to propose all possible VFM metrics, though it is helpful for the project to suggest one or more metrics that will provide evidence of economy, efficiency, effectiveness and equity.

Indicative approaches to VfM management for proposals

Table 3 describes some indicative appraisal criteria that LIFT's Fund Management Office (FMO) uses for assessing VfM in proposals. If a proposal is advanced for further consideration, the VfM metrics may be further defined or revised in consultation between LIFT and the implementing partner.

Table 3. Indicative approaches to VfM in proposals

Describing the VFM plan	The project proposal should describe how it aims to achieve or represents VfM or what the expected returns on investment are (overall or for specific project components). What are the proposed VfM metrics to address each VfM factor (economy, efficiency, effectiveness, equity)? Will the project conduct, cost performance ratio, cost-benefit analysis? Will there be transparent procurement procedures in place? How will project unit costs compare to unit costs for the same outputs in similar projects (here or globally)? Has cost bench-marking or cost-effectiveness data been presented to make the case for the project?
Alternative project approaches	The project approach should offer better VfM compared to other approaches (consider benchmarks where possible). Are alternative approaches offered or clear justifications outlined why specific approaches are selected? Have the expected results and financial costs of alternative approaches been considered?
Robust design	The proposal should outline a well-thought out design to achieve the project objectives.



Rudget	For example, this may include a thorough analysis of the project context and strategies for effective delivery, innovative approaches for promoting uptake or dissemination, promising technologies or delivery models, etc. What are the key aspects of the design that warranted the project's selection? How will the project address displaced persons, persons in conflict areas, disabled persons, gender, etc. The project budgets and results indicators are aligned to allow easy VfM activity/output/outcome assessment.
Budget Alignment	Budget templates should be designed in a manner that enables proper alignment to the programme components on the basis of which standard VfM calculations will be performed (e.g. by 'programme outcome'). Is the budget presented to a level of detail that will allow expenditure monitoring by component, if desired?
Data collection (M&E)	The project M&E system (indicators and data collection plans) is set up to allow for assessment project results vs. expenditures. The M&E system should also allow VFM analysis at the project level and regional (township, state) levels and for multi-region projects. The project level VfM analysis should align with the LIFT logframe and the regional and project TOCs/results frameworks. Is it possible to determine the budgeted costs of the outputs and the activities that contribute to outputs? Does the project define data collection plans and have measurable indicators that allow for VfM assessments of outcomes? Is it possible to determine the budgeted costs of the outputs and the activities that contribute to outputs?
Long-term benefits and sustainability	What are the opportunities and challenges for long-term sustainability of the project or key project outputs/outcomes. Is the project likely to be sustainable, replicable and/or scalable (or to have significant impact on policy)? How will the project address long term sustainability? Is private sector involvement possible? Will the project seek to influence increased government funding; if so, how will this be measured by the project? Is other funding likely to follow the current project? What is the exit plan at project end? For example, are cost-recovery mechanisms in place? Are adequate documentation processes outlined for possible replication? Is there a plan for influencing policy? Projects that are sustainable (e.g. activities continue once funding ceases) will continue to generate benefits even though they may not be captured in a VfM assessment. Similarly, projects that are replicable or scalable will also have the potential to generate greater benefits if they inform the design of other projects or are able to have a wider reach.



ANNEX 5: Conflict sensitive principles

LIFT is willing to engage in conflict-affected areas or areas emerging from conflict, and in particular to support displaced people. LIFT will be intervening in new areas with a legacy of ethnic conflict, division, state failure and mistrust between stakeholders, including armed groups. LIFT will need to navigate within very complex social-political settings. In doing so, LIFT wants to adhere to the international best practices related to 'Do No Harm'.

LIFT would like to ensure the programme design and interventions contribute to improving the livelihoods and food security and situation of people in conflict-affected areas, while taking precaution not to contribute unintended negative impacts on society. The following set of conflict sensitive principles are outlined as a basic guideline to raise awareness and guide programme and project design and implementation.

Principle 1: Understand the conflict

LIFT programme areas may be complex, and area specific analysis will be useful to understand how a community 'works' and to identify key stakeholders (their power and influence) and how each relates to one another and to the LIFT programme.

LIFT partners should conduct stakeholder analysis and conflict context analysis in order to understand the underlying political and socio-economic drivers of the conflict. The analysis should attempt, at a minimum, to identify key conflict drivers, conflict dynamics, capacities for tension (and violence) and for peace, and; 'map out' key stakeholders to determine the power relations between them (see *sample table below*).

Groups/Stakeholders	Position/Interests	Relationship
 Who are the key stakeholders/ groups present in the programme operation area? Group based on (social, economic, religious, ethnic, etc.). 	 What are the underlying interests of key groups and individuals? What are their hopes, concerns and fears? Do they represent the community? Who do they make the decisions for? 	 What are the relationships between the stakeholder groups? What divides these groups in the area of conflict? What brings them together? How tensions currently are expressed?

In these analyses, the potential impact and consequences of LIFT-supported interventions on the conflict dynamics should be appraised to ensure they do no harm. It is important to keep progress in the peace process in view, and avoid pushing interventions ahead of the peace process or presuming outcomes that have not been realised.

The understanding of the context and conflict dynamic will help ensure that the livelihood programme is developing a strategy to minimise conflict impacts and maximise positive efforts across all areas, and at all levels (local, state/region, and national), of programme implementation.



Principle 2: Meaningful consultation with all local stakeholders

All key conflict stakeholders in the area should be properly consulted prior to the design and delivery of any interventions, and on-going, routine consultation with stakeholders should be effected throughout the programme lifecycle.

The definition of stakeholders needs to include not only local authority representatives from relevant government agencies and non-state armed groups, but all the actors who represent other aid and development service providers, political parties, business and civil society organisations (eg religious leaders, women, farmers and different social and ethnic groups at different levels). They should be consulted about where, how, if, and what kind of interventions are best to take place. This includes obtaining prior consent from representatives of non-state armed groups to operate in areas where they are present and/or have relevant influence.

The stakeholder consultation has to be 'meaningful consultation' and foster participation in decision-making processes, as well as promoting a sense of ownership. More inclusive, informal, and empowering channels of communication are required, especially where there are power imbalances between stakeholders.

Principle 3: Engage with power holders

There are different types of administration and governance practices in areas emerging from conflict³. The programme needs to engage with key power holders that may include both government and non-state armed groups. Balancing between 'too close to' or 'too remote from' one over the other, and the ability to manage these kind of relationships is essential. The programme should ensure that the engagements will not substitute their responsibilities, and rather set a good example in dealing with power holders in order to support peace.

Principle 4: Encourage cooperation across conflict lines

A long-term goal of inclusion among the different communities and conflict parties needs to be maintained as part of the process of conflict resolution. Experience continues to warn that development and humanitarian aid in different parts of the country can become regarded as divisive rather than equitable and inclusive. Thus strategies of cooperation and coordination need to be delivered, including target working groups, implementing partner meetings, and regular programme assessments. The initiatives should aim to strengthen and improve development structures of the local government administration as well as of non-state armed groups, and ensure not to create unnecessary burdens and bureaucratic layers.

The programme should facilitate co-operation on interventions between government and non-government entities where feasible and opportune. The programme should also work with civil society organisations, faith-based and community-based organisations that have a significant role in crossing and bridging conflict actors, and sometimes facilitate the connectivity and relationships of those actors.

Often, local structures that cross conflict lines are not formally constituted. It may be that households, communities, or traditional structures are without formal 'institutional' representation and therefore, might be overlooked in partnering decisions. The programme and implementing partners must be able to identify and empower existing local and traditional structures or actors in the community that have

³ Three different types of administration and governance: i) areas administered by the government, ii) areas administered by non-state armed groups, iii) areas where both the government and non-state armed groups administer in parallel or in mixed arrangements.



roles to address community livelihood and development. Empowerment should include developing their capacity, particularly institutional capacity, so they become competent to perform their roles effectively.

Where there is opportunity, encourage partners representing different groups to work together around common activities for community livelihoods and food security (i.e. do not force partnerships). This will be an important measure in contributing to confidence and ensuring an up-to-date shared understanding of the progress of activities.

Principle 5: Transparency and coordination

The programme should operate in a way that builds collaboration with and among development actors. Collaboration should help minimise duplication in each other's work and build synergies and trust.

At all stages of intervention, it is important to ensure the objectives, activities, implementing partners, and availability of programmes and services are transparent. A lack of transparency could create fertile ground for rumours about inequitable assistance, and enable manipulation to generate animosity among stakeholders (e.g. conflict actors). Information dissemination is vital to building community and stakeholder trust, as well as successful programme delivery. The mechanism for communicating and sharing information openly needs to develop during the programme design.

However, information security needs to be considered also, particularly if related to people's stories and security in the area, to ensure the voices of people are heard without jeopardising personal safety.

Principle 6: Meaningful involvement and participation of local and national civil society organisations

Civil society organisations in Myanmar (including faith-based and community-based organisations) are gaining momentum and are active from the local community to national levels at influencing policy and giving voice to the needs and concerns of people with policy makers and decision-making bodies. They also have established working relationships with conflict actors in conflict-affected areas and have access to most places, including restricted areas, through their networks. They are often organised by local groups from these restricted areas. This all means that CSOs and CBOs have a developed understanding of the community (including culture and language) and its dynamics to contribute to all stages of the project cycle, including decisions on the choice of programme strategies and intervention.

Principle 7: Meet the priority needs of conflict-affected populations

Misunderstandings on the intervention objectives of projects may arise if the support provided ignores key issues affecting the livelihoods of the local population, or bypasses important on-going processes, e.g. on natural resource management. This may also affect the capacity to achieve results and induce long-term changes.

Prioritisation of the programme must be based on the needs for stable livelihoods, sustainable communities and recognition of the fundamental rights of all people, including vulnerable groups who may have been denied access to resources, forced to leave from their homes, or dispossessed from legitimate claims to land, etc. It is important to engage the community in discussing the relevance of interventions and acknowledge the project limitations, what it can and cannot do. A wide range of stakeholders – and especially the affected populations – should be included in the process of identifying, prioritising and achieving programme outcomes and outputs.



Principle 8: Inclusion and non-discrimination

Intervention programmes should adhere to the principles of inclusion and non-discrimination, and ensure that services are provided equally to all population groups, regardless of ethnicity, language, religion, gender and age.

Under most circumstances, representatives of all groups, sub-groups and stakeholders in conflict should be included in: a) programming decisions; b) among beneficiaries; and c) among partners. Excluded/marginalised groups should be empowered and participate. Their inclusion will assist stability, sustainability, and bridge gaps that reduce divisions and create space for effective collaboration between stakeholders.

In a conflict and ethnically-divided context, it is vital to recruit staff from all population groups. Staff and projects need to be sensitive to local ethnic, linguistic, faith and cultural realities and, at the same time, not become socially or ethnically exclusive. Inclusion rather than separation should be the goal.

This will also contribute to the local human resource development and ensure that there are clear and easy communication channels between beneficiaries and project staff who share a common language and culture.

Principle 9: Pragmatism and flexibility

The situation in conflict-affected areas is often fluid and subject to change. Different regions may need different intervention approaches. A localised understanding of the conflict transformation challenges involved is necessary. Standard and rigid approaches in conflict zones are risky. The programme and its projects therefore, need to be flexible and provide for pragmatic responses to changeable situations. These situations may require urgent meetings with conflict actors, immediate discussions with community members, management of unexpected security concerns, and the ability to pause implementation while operations, and potentially design, are reviewed.

Standards and policies should be determined by implementing partners with key stakeholders and have a measure of flexibility in their application. Financial allocation for this flexibility and changes should be provided for in the programme budget.

Flexibility is also important to achieve results and sustainability. Design and implementation approaches need to be adaptable and based on experience and real time learning. This can be supported by process-oriented M&E systems that provide for reflection on lessons, best practice, and discussion with partners. Opportunity should be taken for upwards feedback of this learning to the state\region and national levels, also linking it in with current initiatives on conflict resolution by others.

Principle 10: Establish feedback, accountability and grievance mechanisms

Feedback, grievance and accountability mechanisms are an essential part of ensuring positive relationships with communities and different stakeholders. The mechanisms should be neutral, open to all, and enable good programming that could help to reduce potential for tension and conflict to escalate. The principle of accountability is central to conflict sensitivity, as it touches upon issues of power in agency, partner and stakeholder relationships, and informs the roles and type of power/influence each brings.



For partner guidance, LIFT has developed an Accountability Framework⁴ which includes a clear mechanism for responding to complaints, concerns and suggestions. Partners are expected to set up a similar mechanism which is locally adapted and practical in their intervention areas.

Principle 11: Develop a project exit strategy

Tension can arise at the end of a programme, particularly if the stakeholders and community do not understand the reasons why the programme is ending or an organisation is leaving. It is important to have a well-considered and agreed exit strategy well in advance of a programme or project coming to a close. Ideally the strategy will be designed at project inception in consultation with community, key stakeholders and partners, and with a conflict sensitive perspective.

Resource allocation issues should be carefully considered, especially where there is potential for conflict, including what, who and how they are allocated. Measures should be drawn up to mitigate the risk of exacerbating tensions.

The ownership arrangements for project benefits should be agreed, and any newly established local organisations and groups should be strengthened and brought to a point where they can be independent and able to operate without project support. Avoid setting up structures that are pushed by the project; rather engage stakeholders and community members to build their understanding and participation gradually with consent and ownership. Structures established by projects do not last long if they do not effectively serve the purpose and interests of the community. Structures that help bring conflict parties and others together and prove relevant to addressing community issues will be more likely to endure.

Principle 12: Operationalise the principles throughout the programme lifecycle

The guidance provided by these principles should be built into design to ensure effect throughout the whole life cycle of programme and project execution. The initial analysis of conflict dynamics can become quickly outdated and so will not be useful if not operationalised through a strategy for engagement throughout implementation. In some cases issues not identified by early analysis may unexpectedly appear when activities commence. It is important therefore, that projects have mechanisms to regularly review and update design and operational strategies.

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⁴ Available in English and Myanmar languages here: <u>www.lift-fund.org/guidelines</u>